

Mapping for Initial Pool Areas

Initial Pool Area	Initial Pool Intent (Key Probing Words)	Initial Pool Source	Investigative Tool	Critical Element #	Tag #	Tag Description
Abuse	<p>Staff made resident feel afraid, humiliated/degraded, said mean things, hurt resident; made resident feel uncomfortable.</p> <p>Evidence of abuse (fractures, sprains, dislocations; burns, blisters, scalds on hands or torso; bite marks, scratches, skin tears, lacerations in unusual area; bruises or injuries in unusual areas; fear of others)?</p> <p>Physical/verbal aggression (hitting, kicking, pushing, striking out, threatening others)?</p>	RI/RR/RO	Abuse Pathway for all CEs Additionally, Investigative Protocol for CE6 (F609)	1	F600	Free from Abuse and Neglect
				2	F606	Not Employ/Engage Staff with Adverse Actions
				3	F607	Develop/Implement Abuse/Neglect, etc Policies
				4	F943	Abuse, Neglect, and Exploitation Training
				5	F947	Required In-Service Training for Nurse Aides
				6	F609	Reporting of Alleged Violations
				7	F610	Investigate/Prevent/Correct Alleged Violation
Accident Hazards	<p>Bed rail or mattress entrapment; Restraint applied correctly; Unsafe cords, outlets, or handrails; Unsafe hot water in room; Inadequate safety equipment or lighting (grab bars, ambulation, transfer, or therapy); Chemicals/other hazards; Exposure to unsafe heating surfaces; Locks disabled, propped fire doors, irregular walking surfaces; Residents adequately supervised.</p>	RO	Accidents Pathway for all CEs Additionally, Investigative Protocol for CE2 (F700)	1	F689	Free of Accident Hazards/Supervision/Devices
				2	F700	Bedrails
				3	F909	Resident Bed
				4	F655	Baseline Care Plan
				5	F636	Comprehensive Assessments & Timing
				6	F637	Comprehensive Assmt After Significant Change
				7	F641	Accuracy of Assessments
				8	F656	Develop/Implement Comprehensive Care Plan
				9	F657	Care Plan Timing and Revision
Accommodation of Needs (Physical)	<p>Easily get around room, to/from bathroom, use sink; Reach call device, including reaching the emergency call device if on the floor near your bed, toilet or bath, works; and alternatives if needed; Roommate's items taking over your space; Difficulty getting around room; Enough light in room that can be operated; Difficulty opening/closing drawers or bedroom/bathroom doors; Unable to see self in mirror; Unable to reach items easily; Adaptive equipment available and used.</p>	RI/RR/RO	Environment Task Pathway	1	F558	Reasonable Accommodations of Needs/Preferences
Activities	<p>Actively participates or is encouraged; Meets interest; Offered on weekends and evenings; Activities provided to do on own; Younger resident engaged in age appropriate activities; Variety of activities available.</p>	RI/RR/RO	Activities Pathway	1	F679	Activities Meet Interest/Needs of Each Resident
				2	F655	Baseline Care Plan
				3	F636	Comprehensive Assessments & Timing
				4	F637	Comprehensive Assmt After Significant Change
				5	F641	Accuracy of Assessments
				6	F656	Develop/Implement Comprehensive Care Plan
				7	F657	Care Plan Timing and Revision
ADLs	<p>Help to get out of bed or walk; Help to use the bathroom; Help to during meals, to clean teeth or get dressed; Hair disheveled, uncombed or greasy; Fingernails untrimmed, jagged or dirty; Facial hair unkempt or present on female resident; Face, clothing, hands unclean or with food debris; Body or mouth odor; Teeth or dentures not brushed or dentures stored in unsanitary manner; Clothing soiled or in disrepair.</p>	RI/RR/RO	ADL Pathway	1	F676	Activities of Daily Living (ADLs)/Maintain Abilities
				2	F677	ADL Care Provided for Dependent Residents
				3	F655	Baseline Care Plan
				4	F636	Comprehensive Assessments & Timing
				5	F637	Comprehensive Assmt After Significant Change
				6	F641	Accuracy of Assessments
				7	F656	Develop/Implement Comprehensive Care Plan
				8	F657	Care Plan Timing and Revision

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ADL Decline	Change in ability to dress, bathe, transfer, walk, toilet use, clean teeth, or eat. Staff interventions (e.g., to improve, prevent further decline, or no restorative or therapy provided).	RI/RR/RR	ADL Pathway	1	F676	Activities of Daily Living (ADLs)/Maintain Abilities
				2	F677	ADL Care Provided for Dependent Residents
				3	F655	Baseline Care Plan
				4	F636	Comprehensive Assessments & Timing
				5	F637	Comprehensive Assmt After Significant Change
				6	F641	Accuracy of Assessments
				7	F656	Develop/Implement Comprehensive Care Plan
				8	F657	Care Plan Timing and Revision
Advance Directives (CPR)	Advance directive in place; If kept in two places, information matches.	RR	Guidance to Surveyors and Investigative Protocol	1	F578	Request/Refuse/Discontinue Treatment; Formulate Adv Directives
Antibiotic Use (selected for Unnecessary Med Rev)	Currently receiving an antibiotic; Length of time receiving the antibiotic; Any issues; Staff response.	RI/RR/RR	Unnee Meds, Psychotropic Meds, and Med Regimen Review Pathway	1	F757	Drug Regimen is Free From Unnecessary Drugs
				2	F605	Right to be Free From Chemical Restraints
				3	F756	Drug Regimen Review, Report Irregular, Act On
				4	F881	Antibiotic Stewardship Program
				5	F552	Right to be Informed/Make Treatment Decisions
				6	F841	Responsibilities of Medical Director
				7	F655	Baseline Care Plan
				8	F636	Comprehensive Assessments & Timing
				9	F637	Comprehensive Assmt After Significant Change
				10	F641	Accuracy of Assessments
				11	F656	Develop/Implement Comprehensive Care Plan
				12	F657	Care Plan Timing and Revision
				13	F658	Services Provided Meet Professional Standards
Antibiotic Use (not selected for Unnecessary Med Rev)			Guidance to Surveyors	1	F756	Drug Regimen Review, Report Irregular, Act On
				2	F757	Drug Regimen is Free From Unnecessary Drugs
Anticoagulant (Selected for Unnecessary Medication Review)	Currently receiving blood thinner; Bleeding, bruising or other issues; Staff response.	RI/RR/RR	Unnee Meds, Psychotropic Meds, and Med Regimen Review Pathway	1	F757	Drug Regimen is Free From Unnecessary Drugs
				2	F605	Right to be Free From Chemical Restraints
				3	F756	Drug Regimen Review, Report Irregular, Act On
				4	F881	Antibiotic Stewardship Program
				5	F552	Right to be Informed/Make Treatment Decisions
				6	F841	Responsibilities of Medical Director
				7	F655	Baseline Care Plan
				8	F636	Comprehensive Assessments & Timing
				9	F637	Comprehensive Assmt After Significant Change
				10	F641	Accuracy of Assessments
				11	F656	Develop/Implement Comprehensive Care Plan
				12	F657	Care Plan Timing and Revision
				13	F658	Services Provided Meet Professional Standards
Anticoagulant (Not selected for Unnecessary Medication Review)	Currently receiving blood thinner; Bleeding, bruising or other issues; Staff response.	RI/RR/RR	Guidance to Surveyors	1	F675	Quality of Life
				2	F684	Quality of Care
				3	F756	Drug Regimen Review, Report Irregular, Act On
				4	F757	Drug Regimen is Free From Unnecessary Drugs
				1	F744	Treatment/Service for Dementia
				2	F552	Right to be informed/Make Treatment Decisions

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Antipsychotic with Alzheimer's or Dementia	Currently receiving an antipsychotic and has Alzheimer's or dementia.	RR	Dementia Care Pathway	3	F655	Baseline Care Plan
				4	F636	Comprehensive Assessments & Timing
				5	F637	Comprehensive Assmt After Significant Change
				6	F641	Accuracy of Assessments
				7	F656	Develop/Implement Comprehensive Care Plan
				8	F657	Care Plan Timing and Revision
			Unnec. Meds, Psychotropic Meds, and Med Regimen Review Pathway	1	F757	Drug Regimen is Free From Unnecessary Drugs
				2	F605	Right to be Free From Chemical Restraints
				3	F756	Drug Regimen Review, Report Irregular, Act On
				4	F881	Antibiotic Stewardship Program
				5	F552	Right to be Informed/Make Treatment Decisions
				6	F841	Responsibilities of Medical Director
				7	F655	Baseline Care Plan
				8	F636	Comprehensive Assessments & Timing
				9	F637	Comprehensive Assmt After Significant Change
				10	F641	Accuracy of Assessments
				11	F656	Develop/Implement Comprehensive Care Plan
				12	F657	Care Plan Timing and Revision
				13	F658	Services Provided Meet Professional Standards
Antipsychotic with New Schizophrenia Diagnosis <i>(for MDS Indicator)</i>	Older adult with a new schizophrenia diagnosis and receiving an antipsychotic.	RR	Unnec. Meds, Psychotropic Meds and Med Regimen Review Pathway	1	F757	Drug Regimen is Free From Unnecessary Drugs
				2	F605	Right to be Free From Chemical Restraints
				3	F756	Drug Regimen Review, Report Irregular, Act On
				4	F881	Antibiotic Stewardship Program
				5	F552	Right to be Informed/Make Treatment Decisions
				6	F841	Responsibilities of Medical Director
				7	F655	Baseline Care Plan
				8	F636	Comprehensive Assessments & Timing
				9	F637	Comprehensive Assmt After Significant Change
				10	F641	Accuracy of Assessments
				11	F656	Develop/Implement Comprehensive Care Plan
				12	F657	Care Plan Timing and Revision
				13	F658	Services Provided Meet Professional Standards

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Bladder and Bowel (B&B) Incontinence and/or Low Risk B&B	Incontinence - when, why, interventions, toileting program? Told to urinate in brief? Incontinent and not at high risk? Urine or BM odor; Resident, clothing or linens soiled with urine or BM; Timely incontinence care; Implementing maintenance programs appropriately.	RI/RR/RO/ RR	B&B Incontinence Pathway	1	F690	Bowel/Bladder Incontinence, Catheter, UTI
				2	F880	Infection Prevention & Control
				3	F655	Baseline Care Plan
				4	F636	Comprehensive Assessments & Timing
				5	F637	Comprehensive Assmt After Significant Change
				6	F641	Accuracy of Assessments
				7	F656	Develop/Implement Comprehensive Care Plan
				8	F657	Care Plan Timing and Revision
Call devices in reach, call system functioning	Call device in reach if able to use? Emergency call device system functioning in room, bathroom and bathing areas.	RO	Environment Task Pathway	2	F919	Resident Call Device System
Catheter	Urinary catheter - Why? How long? Problems? Infections? Pain? Tubing properly secured, free of kinks, unobstructed; Drainage bag below level of bladder; Drainage bag off the floor; Signs or symptoms of infection; Drainage bag emptied using separate, clean collection container for each resident; Drainage spigot doesn't touch the collection container.	RI/RR/RO	Urinary Catheter or UTI Pathway	1	F690	Bowel/Bladder Incontinence, Catheter, UTI
				2	F880	Infection Prevention & Control
				3	F655	Baseline Care Plan
				4	F636	Comprehensive Assessments & Timing
				5	F637	Comprehensive Assmt After Significant Change
				6	F641	Accuracy of Assessments
				7	F656	Develop/Implement Comprehensive Care Plan
				8	F657	Care Plan Timing and Revision
Change of Condition	Change of condition not identified, monitored, or treated appropriately.	RR	Guidance to Surveyors	1	F684	Quality of Care
Choices	Choices about daily life, bathing, food and fluids, meal time, getting up, going to bed, activities, medication times, doctor, visitors any time.	RI/RR/RO	Guidance to Surveyors	1	F561	Self Determination
Constipation/ Diarrhea	Bowel or colostomy problems; Constipation (> three days); Diarrhea; Effectiveness of a bowel management program, if applicable.	RI/RR/RO	General Pathway	1	F684	Quality Of Care
				2	F655	Baseline Care Plan
				3	F636	Comprehensive Assessments & Timing
				4	F637	Comprehensive Assmt After Significant Change
				5	F641	Accuracy of Assessments
				6	F656	Develop/Implement Comprehensive Care Plan
				7	F657	Care Plan Timing and Revision

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Initial Pool Area	Initial Pool Intent (Key Probing Words)	Initial Pool Source	Investigative Tool	Critical Element #	Tag #	Tag Description
Dental	Problems with teeth, gums, dentures; Broken, missing or loose teeth; Inflamed or bleeding gums; Ill fitting, damaged, or missing dentures; Difficulty chewing food; Available oral hygiene products; Assistance with oral hygiene, as needed; Help making dentist appointments.	RI/RRI/RO	Dental Status & Services Pathway	1	F790	Routine/Emergency Dental Services in SNFs
				2	F791	Routine/Emergency Dental Services in NFs
				3	F655	Baseline Care Plan
				4	F636	Comprehensive Assessments & Timing
				5	F637	Comprehensive Assmt After Significant Change
				6	F641	Accuracy of Assessments
				7	F656	Develop/Implement Comprehensive Care Plan
				8	F657	Care Plan Timing and Revision
Dialysis	Hemodialysis or peritoneal dialysis (HHD): Where and how often receive dialysis; Who administers in facility; Access site location, how often monitored, arm used for B/P, bleeding; Infections; Problems before, during or after dialysis; Weights, vital signs, meals, medications, fluid and dietary restrictions; Communication between dialysis center and facility. Offsite hemodialysis: Transportation arrangements and concerns.	RI/RRI/RR	Dialysis Pathway	1	F698	Dialysis
				2	F880	Infection Prevention & Control
				3	F655	Baseline Care Plan
				4	F636	Comprehensive Assessments & Timing
				5	F637	Comprehensive Assmt After Significant Change
				6	F641	Accuracy of Assessments
				7	F656	Develop/Implement Comprehensive Care Plan
				8	F657	Care Plan Timing and Revision
			Nutrition Pathway	1	F692	Nutrition/Hydration Status Maintenance
				2	F710	Resident's Care Supervised by a Physician
				3	F655	Baseline Care Plan
				4	F636	Comprehensive Assessments & Timing
				5	F637	Comprehensive Assmt After Significant Change
				6	F641	Accuracy of Assessments
				7	F656	Develop/Implement Comprehensive Care Plan
				8	F657	Care Plan Timing and Revision
Dignity	Treated with respect and dignity; Searched self, room or belongings without permission; Knock, ask permission to enter room; Explain service or care provided; Include resident in conversation; Visual privacy of body while visible to others; Urinary catheter bag/other body fluid collection device covered; Respond to resident's call for assistance timely; Poorly fitting clothing; Clothing or face soiled after meals; Staff used a label or pet name; Confidential clinical/personal care instructions in viewable areas; Dressed in institutional fashion; Name visible on clothes.	RI/RRI/RO	Guidance to Surveyors	1	F550	Resident Rights/Exercise of Rights
Discharge	Discharge goals include going back to the community? Included in discharge planning? Referrals to agencies to assist with living arrangement or cares?	RI/RRI	Discharge Pathway	1	F627	Inappropriate Transfers and Discharges: Necessary Discharge
				2	F627	Inappropriate Transfers and Discharges: Documented in Record
				3	F628	Transfer and Discharge Process: Communication
				4	F627	Inappropriate Transfers and Discharges: Allowed to Return to the Facility
				5	F627	Inappropriate Transfers and Discharges: Pending Appeal
				6	F627	Inappropriate Transfers and Discharges: Discharge Plan
				7	F628	Transfer and Discharge Process: Discharge Summary

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				8	F628	Transfer and Discharge Process: Notification of Discharge
				9	F579	Discharge Due to Non-payment: Medicaid-eligible Requirements

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Edema	Legs, feet, arms, or hands swollen? Elevated? Support stockings?	RO	General Pathway	1	F684	Quality Of Care
				2	F655	Baseline Care Plan
				3	F636	Comprehensive Assessments & Timing
				4	F637	Comprehensive Assmt After Significant Change
				5	F641	Accuracy of Assessments
				6	F656	Develop/Implement Comprehensive Care Plan
				7	F657	Care Plan Timing and Revision
Environment	Comfortable room or building sound levels; Temperature in room and building not too warm or too cool; Room and building clean; Water temperature aren't too hot or cold; Bed clean and comfortable; Walls, floors, ceiling, drapes, furniture not clean or in disrepair; Bed linens or fixtures soiled; Resident care equipment unclean, disrepair, stored improper; Hot water too cold; Room not homelike; Lighting levels inadequate; Stains from water damage; Transmission-based precautions: dedicated or disposable noncritical resident care equipment used.	RI/RR/RO	Environment Task Pathway (only investigate the CE of concern)	1	F558	Reasonable Accommodations of Needs/Preferences
				2	F919	Resident Call Device System
				3	F584	Safe/Clean/Comfort/Homelike Envir: Sound Levels
				4	F584	Safe/Clean/Comfort/Homelike Envir: Temperature Levels
				5	F584	Safe/Clean/Comfort/Homelike Envir: Lighting Levels
				6	F584	Safe/Clean/Comfort/Homelike Envir: Clean Building
				7	F584	Safe/Clean/Comfort/Homelike Envir: Building and Equipment in Good Condition
				8	F908	Essential Equipment, Safe Operating Condition
				9	F584	Safe/Clean/Comfort/Homelike Envir: Homelike
				10	F584	Safe/Clean/Comfort/Homelike Envir: Water Too Cool
				11	F584	Safe/Clean/Comfort/Homelike Envir: Linens
				12	F925	Maintains Effective Pest Control Program
				13	F923	Ventilation
				14	F924	Corridors Have Firmly Secured Handrails
				15	F921	Safe/Functional/Sanitary/Comfortable Environment
Falls	Fall(s) with/without injury? What happened? Fall prevention interventions? Prevention devices in use and functional? Appropriate foot covering?	RI/RR/RO/RR	Accidents Pathway for all CEs Additionally, Investigative Protocol for CE2 (F700), if applicable	1	F689	Free of Accident Hazards/Supervision/Devices
				2	F700	Bedrails (NA, unless issue identified during investigation)
				3	F909	Resident Bed (NA, unless issue identified during investigation)
				4	F655	Baseline Care Plan
				5	F636	Comprehensive Assessments & Timing
				6	F637	Comprehensive Assmt After Significant Change
				7	F641	Accuracy of Assessments
				8	F656	Develop/Implement Comprehensive Care Plan
				9	F657	Care Plan Timing and Revision
Food	Food tastes good, looks good, proper temperature; Accommodate food preferences, allergies, or sensitivities; Provide substitutions, receives preferred snacks.	RI/RR/RI	Guidance to Surveyors	1	F803	Menus Meet Res Needs/Prep in Advance/Followed
				2	F804	Nutritive Value/Appear, Palatable/Prefer Temp

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High Risk Meds/Diagnoses/Hospice (Selected for Unnecessary Medication Review)	Currently received antipsychotic with diagnosis of Alzheimer's/dementia, antianxiety, antidepressant, hypnotic, anticoagulant, antibiotic, diuretic, insulin, opioids. Excluded if on hospice. Excluded if has a diagnosis of Huntington's syndrome, Tourette's Syndrome, Manic Depression (bipolar disease), Schizophrenia, Cerebral Palsy, Multiple Sclerosis, Seizure Disorder/Epilepsy Note: Do not code aspirin or Plavix as an anticoagulant. Code medications according to a drug's pharmacological classification, not how it is used.	RR	Unnec Meds, Psychotropic Meds, and Med Regimen Review Pathway	1	F757	Drug Regimen is Free From Unnecessary Drugs
				2	F605	Right to be Free From Chemical Restraints
				3	F756	Drug Regimen Review, Report Irregular, Act On
				4	F881	Antibiotic Stewardship Program
				5	F552	Right to be Informed/Make Treatment Decisions
				6	F841	Responsibilities of Medical Director
				7	F655	Baseline Care Plan
				8	F636	Comprehensive Assessments & Timing
				9	F637	Comprehensive Assmt After Significant Change
				10	F641	Accuracy of Assessments
				11	F656	Develop/Implement Comprehensive Care Plan
				12	F657	Care Plan Timing and Revision
				13	F658	Services Provided Meet Professional Standards
Hospice	How long? How often does hospice staff come? Type of care provided? Involved in care planning? Who coordinates care with hospice? Contacted you? Hospice concerns? Who do you talk to about your concerns? Comfortable, agitated, respiratory distress, pain; Privacy for visits.	RI/RR/RO	Hospice and End of Life Care and Services Pathway	1(A)	F684	Quality of Care (End of Life care)
				1(B)	F684	Quality of Care (receiving Hospice Services)
				2	F849	Hospice Services
				3	F655	Baseline Care Plan
				4	F636	Comprehensive Assessments & Timing
				5	F637	Comprehensive Assmt After Significant Change
				6	F641	Accuracy of Assessments
				7	F656	Develop/Implement Comprehensive Care Plan
Hospitalization	Recent hospitalizations or ER visits - frequency and why? Bed hold?	RI/RR/RR	Hospitalization Pathway	8	F657	Care Plan Timing and Revision
				1	F684	Quality of Care
				2	F627	Inappropriate Transfers and Discharges: Requirements
				3	F627	Inappropriate Transfers and Discharges: Prepared/Oriented
				4	F627	Inappropriate Transfers and Discharges: Allowed to Return to the Facility
				5	F628	Transfer and Discharge Process: Required Information Conveyed at Time of Transfer
				6	F628	Transfer and Discharge Process: Facility Notification to Resident/Resident Representative
				7	F628	Transfer and Discharge Process: Discharge After Hospitalization
				8	F628	Transfer and Discharge Process: Notification of Bed Hold Policy/Reserve Bed Payment
				9	F655	Baseline Care Plan
				10	F636	Comprehensive Assessments & Timing
				11	F637	Comprehensive Assmt After Significant Change
				12	F641	Accuracy of Assessments
				13	F656	Develop/Implement Comprehensive Care Plan
				14	F657	Care Plan Timing and Revision
	Provide or assist with water or other beverages throughout day? Dehydrated and/or received IV fluids?			1	F692	Nutrition/Hydration Status Maintenance
				2	F655	Baseline Care Plan

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Hydration	Dry, cracked lips, dry mouth, sunken eyes, signs of thirst; Water pitcher accessible; Provided meal assistance (meal tray/cups/cartons opened and accessible) or resisting or refusing fluids.	RI/RRI/RO	Hydration Pathway	3	F636	Comprehensive Assessments & Timing
				4	F637	Comprehensive Assmt After Significant Change
				5	F641	Accuracy of Assessments
				6	F656	Develop/Implement Comprehensive Care Plan
				7	F657	Care Plan Timing and Revision

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Infections (not UTI, Pressure Ulcer, or Respiratory)	<p>Access to soap and assistance to wash hands?</p> <p>Have you had any other infections recently (e.g., surgical infection, eye infection, blood infection, C. difficile, sepsis, or gastroenteritis such as norovirus, or illness with nausea and vomiting)? Tell me about the infection. Are you currently having any symptoms?</p> <p>Display symptoms? Medical device insertion site or wound dressing have redness or swelling, drainage? If drainage present (document color/amount/type/odor).</p> <p>Does the resident currently have any other infection (e.g., surgical wound infection, eye infection)?</p>	RI/RR/RO/RR	Infection Prevention, Control & Immunizations Pathway	1	F880	Infection Prevention & Control: Implement Standard & Transmission Based Precautions (TBP), Hand Hygiene, Personal Protective Equipment (PPE) and Source Control
				2	F880	Infection Prevention & Control: IPCP Standards, P&P
				3	F880	Infection Prevention & Control: Infection Surveillance
				4	F880	Infection Prevention & Control: Water Management
				5	F880	Infection Prevention & Control: Linens
				6	F881	Antibiotic Stewardship Program
				7	F882	Infection Preventionist (IP)
				8	F883	Influenza and Pneumococcal Immunizations
				9	F887	COVID-19 Education and Immunization for Residents
				10	F887	COVID-19 Immunization for Staff: Screening, Education & Offering
Insulin (Selected for Unnecessary Medication Review)	<p>Currently receiving insulin;</p> <p>Issues with blood sugars;</p> <p>Staff response.</p>	RI/RR/RR	Unnec Meds, Psychotropic Meds, and Med Regimen Review Pathway	1	F757	Drug Regimen is Free From Unnecessary Drugs
				2	F605	Right to be Free From Chemical Restraints
				3	F756	Drug Regimen Review, Report Irregular, Act On
				4	F881	Antibiotic Stewardship Program
				5	F552	Right to be Informed/Make Treatment Decisions
				6	F841	Responsibilities of Medical Director
				7	F655	Baseline Care Plan
				8	F636	Comprehensive Assessments & Timing
				9	F637	Comprehensive Assmt After Significant Change
				10	F641	Accuracy of Assessments
				11	F656	Develop/Implement Comprehensive Care Plan
				12	F657	Care Plan Timing and Revision
				13	F658	Services Provided Meet Professional Standards
Insulin (Not selected for Unnecessary Medication Review)	<p>Currently receiving insulin or anticoagulant;</p> <p>Issues with blood sugars;</p> <p>Issues with bleeding or bruising;</p> <p>Staff response.</p>	RI/RR/RR	Guidance to Surveyors	1	F675	Quality of Life
				2	F684	Quality of Care
				3	F756	Drug Regimen Review, Report Irregular, Act On
				4	F757	Drug Regimen is Free From Unnecessary Drugs

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Language/ Communication	Different language, uses sign language, other alternative communication means? Staff can communicate with the resident? Communication systems available?	RO	Communication & Sensory Pathway	1	F676	Activities of Daily Living (ADLs)/Maintain Abilities
				2	F685	Treatment/Devices to Maintain Hearing/Vision
				3	F655	Baseline Care Plan
				4	F636	Comprehensive Assessments & Timing
				5	F637	Comprehensive Assmt After Significant Change
				6	F641	Accuracy of Assessments
				7	F656	Develop/Implement Comprehensive Care Plan
				8	F657	Care Plan Timing and Revision
Limited Range of Motion (ROM)	Limitation in joints or contracture? Interventions? Splints in place and correctly applied?	RI/RR/RO	Positioning, Mobility and Range of Motion Pathway	1(A)	F688	Increase/Prevent Decrease in ROM/Mobility (Admitted Without Limited ROM)
				1(B)	F688	Increase/Prevent Decrease in ROM/Mobility (Admitted With Limited ROM)
				2	F684	Quality of Care
				3	F655	Baseline Care Plan
				4	F636	Comprehensive Assessments & Timing
				5	F637	Comprehensive Assmt After Significant Change
				6	F641	Accuracy of Assessments
				7	F656	Develop/Implement Comprehensive Care Plan
Mood/Behavior	Concerns with how the facility addresses history of trauma; Depressed, socially withdrawn, isolated, fatigued, not eating, anxious; Lack emotional affect, short tempered, frustrated, angry, easily annoyed; Hallucinations; Physical or verbal distress towards others; Wandering/pacing (in and out of rooms), rummaging in other's belongings; Combative, confrontational, hitting, scratching, throwing or smearing food or bodily waste, constant vocalizations or sounds annoying to others. Staff recognize behavior/distress? Response is person-centered?	RI/RR/RO	Behavioral-Emotional Status Pathway	1	F699	Trauma Informed Care
				2	F740	Behavioral Health Services
				3	F741	Sufficient/Competent Staff-Behav Health Needs
				4	F742	Treatment/Svc for Mental/Psychosocial Concerns
				5	F743	No Pattern of Behavioral Difficulties Unless Unavoidable
				6	F745	Provision of Medically Related Social Services
				7	F655	Baseline Care Plan
				8	F636	Comprehensive Assessments & Timing
				9	F637	Comprehensive Assmt After Significant Change
				10	F641	Accuracy of Assessments
				11	F656	Develop/Implement Comprehensive Care Plan
				12	F657	Care Plan Timing and Revision
<i>New Schiz and No Antipsychotic (for new admissions)</i>	<i>Is this resident at least 65 years or older and has a new diagnosis of schizophrenia since admission?</i>	RR	Guidance to Surveyors	1	F641	Accuracy of Assessments
				2	F658	Services Provided Meet Professional Standards
<i>New Schiz and Antipsychotic (for new admissions)</i>	<i>Is the resident at least 65 years of age, receiving an antipsychotic, and has a new diagnosis of schizophrenia since admission?</i>	RR	Unnec Meds, Psychotropic Meds, and Med Regimen Review Pathway	1	F757	Drug Regimen is Free From Unnecessary Drugs
				2	F605	Right to be Free From Chemical Restraints
				3	F756	Drug Regimen Review, Report Irregular, Act On
				4	F881	Antibiotic Stewardship Program
				5	F552	Right to be Informed/Make Treatment Decisions
				6	F841	Responsibilities of Medical Director
				7	F655	Baseline Care Plan
				8	F636	Comprehensive Assessments & Timing
				9	F637	Comprehensive Assmt After Significant Change
				10	F641	Accuracy of Assessments
				11	F656	Develop/Implement Comprehensive Care Plan
				12	F657	Care Plan Timing and Revision

Mapping for Initial Pool Areas

Initial Pool Area	Initial Pool Intent (Key Probing Words)	Initial Pool Source	Investigative Tool	Critical Element #	Tag #	Tag Description
				13	F658	Services Provided Meet Professional Standards
<i>No Antipsychotic with New Schizophrenia Diagnosis (for MDS Indicator)</i>	<i>Does the resident have a new diagnosis of schizophrenia?</i>	RR	Guidance to Surveyors	1	F641	Accuracy of Assessments
				2	F658	Services Provided Meet Professional Standards
Notification of Change	Change in condition, treatment, or any accidents? Notified promptly?	RRI	Guidance to Surveyors	1	F580	Notify of Changes (Injury/Decline/Room, Etc.)
Nutrition	Unplanned weight gain or loss and interventions; Meals/eating: assistance, special diet, altered consistency, cueing, difficulty swallowing, encouragement, assistive devices, substitute offered if refused or not eating; Supplements and snacks timing and consumed; Physical appearance of an altered nutritional status.	RI/RRI/RO/ RR	Nutrition Pathway	1	F692	Nutrition/Hydration Status Maintenance
				2	F710	Resident's Care Supervised by a Physician
				3	F655	Baseline Care Plan
				4	F636	Comprehensive Assessments & Timing
				5	F637	Comprehensive Assmt After Significant Change
				6	F641	Accuracy of Assessments
				7	F656	Develop/Implement Comprehensive Care Plan
				8	F657	Care Plan Timing and Revision

Mapping for Initial Pool Areas

Initial Pool Area	Initial Pool Intent (Key Probing Words)	Initial Pool Source	Investigative Tool	Critical Element #	Tag #	Tag Description
Oxygen	Oxygen mask and tubing properly placed? Tubing and humidification dated? Flow rate (liters/minute)? Discomfort or respiratory distress?	RO	Respiratory Care Pathway	1	F695	Respiratory/Tracheostomy Care and Suctioning
				2	F826	Rehab Services- Physician Order/Qualified Person
				3	F715	Physician Delegation to Dietitian/Therapist
				4	F880	Infection Prevention & Control
				5	F655	Baseline Care Plan
				6	F636	Comprehensive Assessments & Timing
				7	F637	Comprehensive Assmt After Significant Change
				8	F641	Accuracy of Assessments
				9	F656	Develop/Implement Comprehensive Care Plan
				10	F657	Care Plan Timing and Revision
Pain	Verbal or non-verbal signs of pain or discomfort (where, what, how often)? Pain impacts your daily activities? Interventions (prior to opioid use)? Pain relieved? Involved in pain management? Pain meds when needed and timely? Unaddressed med side effects?	RI/RRI/RO	Pain Recognition and Management Pathway	1	F697	Pain Management
				2	F655	Baseline Care Plan
				3	F636	Comprehensive Assessments & Timing
				4	F637	Comprehensive Assmt After Significant Change
				5	F641	Accuracy of Assessments
				6	F656	Develop/Implement Comprehensive Care Plan
				7	F657	Care Plan Timing and Revision
Participation in Care Planning	Involved in decisions about care, medication, therapy, treatments, setting goals, care plan meetings? Receive care according to the plan? New admits: Get written summary of your initial care plan? Did they explain it?	RI/RRI	Guidance to Surveyors	1	F657	Care Plan Timing and Revision
Personal Funds	Quarterly statements? Access your money, including weekends?	RI/RRI	Personal Funds Facility Task	1	F567	Protection/Management of Personal Funds
				2	F568	Accounting and Records of Personal Funds: Quarterly Statement
				3	F582	Medicaid/Medicare Coverage/Liability Notice
				4	F568	Accounting and Records of Personal Funds: Separate Accounting
				5	F568	Accounting and Records of Personal Funds: Follow Accounting Principles
				6	F571	Limitations on Charges to Personal Funds
				7	F567	Protection/Management of Personal Funds
				8	F569	Notice and Conveyance of Personal Funds
				9	F570	Surety Bond - Security of Personal Funds
Personal Property	Missing personal items? Asked to sign paper saying facility not responsible for lost items? Encouraged to bring personal items?	RI/RRI	Guidance to Surveyors	1	F584	Safe/Clean/Comfortable/Homelike Environment
Positioning	Lack of arm/shoulder support; Head lolling to one side, awkward angle; Hyperflexion of the neck; Leaning to the side without support to maintain an upright position; Lack of needed torso or head support; Uncomfortable Geri-chair positioning, sliding down in the chair; Wheelchair too big or too small, seat too long, short, low or high; Dangling legs and feet from chair or too short mattress; Sagging mattress while laying in bed; Bed linens tight holding feet in plantar flexion.	RO	Positioning, Mobility and Range of Motion Pathway	1(A)	F688	Increase/Prevent Decrease in ROM/Mobility (Admitted Without Limited ROM)
				1(B)	F688	Increase/Prevent Decrease in ROM/Mobility (Admitted With Limited ROM)
				2	F684	Quality of Care
				3	F655	Baseline Care Plan
				4	F636	Comprehensive Assessments & Timing
				5	F637	Comprehensive Assmt After Significant Change
				6	F641	Accuracy of Assessments
				7	F656	Develop/Implement Comprehensive Care Plan
				8	F657	Care Plan Timing and Revision
				1	F645	PASARR Screening for MD & ID: Level I Prior to Admission

Mapping for Initial Pool Areas

Initial Pool Area	Initial Pool Intent (Key Probing Words)	Initial Pool Source	Investigative Tool	Critical Element #	Tag #	Tag Description
Preadmission Screening and Resident Review (PASARR)	Level II PASARR adequately completed despite serious mental illness, ID or other organic condition related to ID/DD?	RR	PASARR Pathway	2	F645	PASARR Screening for MD & ID: Short Stay Longer than 30 Days
				3	F645	PASARR Screening for MD & ID: Refer for Level II
				4	F644	Coordination of PASARR and Assessments: Refer for Newly Evident Condition
				5	F644	Coordination of PASARR and Assessments: Incorporate Level II Recommendations
				6	F644	Coordination of PASARR and Assessments: Notify Authority Timely of Newly Evident Condition
				7	F646	MD/ID Significant Change Notification
				8	F655	Baseline Care Plan
				9	F636	Comprehensive Assessments & Timing
				10	F637	Comprehensive Assmt After Significant Change
				11	F641	Accuracy of Assessments
				12	F656	Develop/Implement Comprehensive Care Plan
				13	F657	Care Plan Timing and Revision

Mapping for Initial Pool Areas

Initial Pool Area	Initial Pool Intent (Key Probing Words)	Initial Pool Source	Investigative Tool	Critical Element #	Tag #	Tag Description
Pressure Ulcers	Sores, open areas, pressure ulcers (where, when, how); Developed in facility, worsened, or improved; Treatment; Wound covered with dressing, drainage, redness, swelling, pain; Pressure relieving devices used and used correctly; Positioned off pressure ulcer, wheelchair and bed repositioning; Access to sink to wash hands; Staff wash hands before treatment; Wound infected and getting better.	RI/RR/RO/ RR	Pressure Ulcer/Injury Pathway	1	F686	Treatment/Svcs to Prevent/Heal Pressure Ulcers
				2	F710	Resident's Care Supervised by a Physician
				3	F880	Infection Prevention & Control
				4	F655	Baseline Care Plan
				5	F636	Comprehensive Assessments & Timing
				6	F637	Comprehensive Assmt After Significant Change
				7	F641	Accuracy of Assessments
				8	F656	Develop/Implement Comprehensive Care Plan
				9	F657	Care Plan Timing and Revision
Privacy	Privacy during care or treatments? Visitor or telephone privacy? Bedroom equipped to assure full privacy? Private electronic or verbal communication about resident?	RI/RR/RO	Guidance to Surveyors	1	F583	Personal Privacy/Confidentiality of Records
Psych/Opioid Med Side Effects (Selected for Unnecessary Medication Review)	Excessive sedation; Dizziness.	RO	Unnec. Meds, Psychotropic Meds, and Med Regimen Review Pathway	1	F757	Drug Regimen is Free From Unnecessary Drugs
				2	F605	Right to be Free From Chemical Restraints
				3	F756	Drug Regimen Review, Report Irregular, Act On
				4	F881	Antibiotic Stewardship Program
				5	F552	Right to be Informed/Make Treatment Decisions
				6	F841	Responsibilities of Medical Director
				7	F655	Baseline Care Plan
				8	F636	Comprehensive Assessments & Timing
				9	F637	Comprehensive Assmt After Significant Change
				10	F641	Accuracy of Assessments
				11	F656	Develop/Implement Comprehensive Care Plan
				12	F657	Care Plan Timing and Revision
				13	F658	Services Provided Meet Professional Standards
Psych/Opioid Med Side Effects (Not selected for Unnecessary Medication Review)	Excessive sedation; Dizziness.	RO	Guidance to Surveyors	1	F675	Quality of Life
				2	F684	Quality of Care
				3	F756	Drug Regimen Review, Report Irregular, Act On
				4	F757	Drug Regimen is Free From Unnecessary Drugs
				5	F605	Right to be Free From Chemical Restraints

Mapping for Initial Pool Areas

Initial Pool Area	Initial Pool Intent (Key Probing Words)	Initial Pool Source	Investigative Tool	Critical Element #	Tag #	Tag Description
Rehab	Receiving therapy? Effectiveness?	RI/RR	Specialized Rehabilitative or Restorative Services Pathway	1	F825	Provide/Obtain Specialized Rehab Services
				2	F676	Activities of Daily Living (ADLs)/Maintain Abilities
				3	F655	Baseline Care Plan
				4	F636	Comprehensive Assessments & Timing
				5	F637	Comprehensive Assmt After Significant Change
				6	F641	Accuracy of Assessments
				7	F656	Develop/Implement Comprehensive Care Plan
				8	F657	Care Plan Timing and Revision
Resident Assessment	MDS Discrepancy (checkbox is selected and "No Issue" is marked)	RI/RR/RO/RR	Resident Assessment Task Pathway	1	F641	Accuracy of Assessments
				2	F636	Comprehensive Assessment and Timing
				3	F638	Quarterly Assessment At Least Every 3 months
				4	F640	Encoding/Transmitting Resident Assessment
				5	F641	Accuracy of Assessments
				6	F641	Coordination/Certification of Assessment
Resident-to-Resident Interaction	Confrontations with other residents? Reported? What happened?	RI/RR	Abuse Pathway	1	F600	Free from Abuse and Neglect
				2	F606	Not Employ/Engage Staff with Adverse Actions
				3	F607	Develop/Implement Abuse/Neglect, etc Policies
				4	F943	Abuse, Neglect, and Exploitation Training
				5	F947	Required In-Service Training for Nurse Aides
				6	F609	Reporting of Alleged Violations
				7	F610	Investigate/Prevent/Correct Alleged Violation
			Accidents Pathway for all CEs Additionally, Investigative Protocol for CE2 (F700), if applicable	1	F689	Free of Accident Hazards/Supervision/Devices
				2	F700	Bedrails (NA, unless issue identified during investigation)
				3	F909	Resident Bed (NA, unless issue identified during investigation)
				4	F655	Baseline Care Plan
				5	F636	Comprehensive Assessments & Timing
				6	F637	Comprehensive Assmt After Significant Change
				7	F641	Accuracy of Assessments
				8	F656	Develop/Implement Comprehensive Care Plan
				9	F657	Care Plan Timing and Revision

Mapping for Initial Pool Areas

Initial Pool Area	Initial Pool Intent (Key Probing Words)	Initial Pool Source	Investigative Tool	Critical Element #	Tag #	Tag Description
Respiratory Infection	Easy access to a sink with soap to wash your hands? Staff assist with washing your hands, if needed? Fever lately? Recent respiratory infection? Treated? Are you currently having any symptoms? Signs or symptoms of a respiratory infection? Currently have a respiratory infection?	RI/RR/RO/RR	Respiratory Care Pathway	1	F695	Respiratory/Tracheostomy Care and Suctioning
				2	F826	Rehab Services- Physician Order/Qualified Person
				3	F715	Physician Delegation to Dietitian/Therapist
				4	F880	Infection Prevention & Control
				5	F655	Baseline Care Plan
				6	F636	Comprehensive Assessments & Timing
				7	F637	Comprehensive Assmt After Significant Change
				8	F641	Accuracy of Assessments
				9	F656	Develop/Implement Comprehensive Care Plan
				10	F657	Care Plan Timing and Revision
Restraints	Anything that restricts movement or access to body? Trunk restraint, limb restraint, bed rails, chair that prevents rising, mitts, or personal alarms used? Applied correctly?	RO	Physical Restraints Pathway	1	F604	Right to be Free from Physical Restraints
				2	F655	Baseline Care Plan
				3	F636	Comprehensive Assessments & Timing
				4	F637	Comprehensive Assmt After Significant Change
				5	F641	Accuracy of Assessments
				6	F656	Develop/Implement Comprehensive Care Plan
				7	F657	Care Plan Timing and Revision
Skin Conditions (non-pressure related)	Bruises, burns, abrasions, lacerations, skin tears, rash, hives, dry skin, other skin issues? How did it occur? How are they preventing it from happening again?	RI/RR/RO	General Pathway	1	F684	Quality Of Care
				2	F655	Baseline Care Plan
				3	F636	Comprehensive Assessments & Timing
				4	F637	Comprehensive Assmt After Significant Change
				5	F641	Accuracy of Assessments
				6	F656	Develop/Implement Comprehensive Care Plan
				7	F657	Care Plan Timing and Revision
Smoking	Smoking/vaping safely (appropriate place, who keeps materials, oxygen use, ash/cigarette butt disposal, supervision, safety precautions or devices used); Accidents, burns or burn marks; Smoke/vape when desired - smoking times.	RI/RR/RO	Accidents Pathway for all CEs Additionally, Investigative Protocol for CE2 (F700), if applicable	1	F689	Free of Accident Hazards/Supervision/Devices
				2	F700	Bedrails (NA, unless issue identified during investigation)
				3	F909	Resident Bed (NA, unless issue identified during investigation)
				4	F655	Baseline Care Plan
				5	F636	Comprehensive Assessments & Timing
				6	F637	Comprehensive Assmt After Significant Change
				7	F641	Accuracy of Assessments
				8	F656	Develop/Implement Comprehensive Care Plan
				9	F657	Care Plan Timing and Revision

Mapping for Initial Pool Areas

Initial Pool Area	Initial Pool Intent (Key Probing Words)	Initial Pool Source	Investigative Tool	Critical Element #	Tag #	Tag Description
Sufficient Staffing	Get the help and care needed without waiting a long time? What happens if you have to wait? How long do you wait for staff to respond to call light?	RI/RR1	Sufficient and Competent Nurse Staffing Task Pathway	1	F851	Payroll Based Journal (PBJ)
				2	F727	RN Serve as Full Time DON
				3	F725	Licensed Nurse Coverage 24-Hr/Day
				4	F727	RN 8 Consecutive Hrs/Day/7 Days a Week
				5	F725	Sufficient Nursing Staff 24 Hrs Basis for Each Resident's Needs
				6	F726	Nursing Staff Knowledge, Competencies, & Skills
				7	F727	RN 8 Consecutive Hrs/Day/7 Days a Week
				8	F732	Posted Nurse Staffing Information
				9	F838	Facility Assessment
				10	F725	Licensed Nurse Coverage 24-Hr/Day
				11	F727	DON Charge Nurse only Daily Occupancy 60 or Fewer Residents
				12	F728	Nurse Aid Training and/or Competency Evaluation Within 4 Months of Hire
				13	F729	Nurse Aid New Training and/or Competency Evaluation Program
				14	F730	Nurse Aid Performance Review Every 12 months; Regular In-service Education
				15	F947	Required In-Service Training Provided for Nurse Aides
Transmission - Based Precautions (TBP)	Staff and visitors wearing gowns, gloves, and/or masks when entering your room? Restrictions on where you can and can't go in the facility? Reason for these restrictions? Staff explained why and how long you will be on the precautions? Restrictions for visitors coming into your room? Changes in mood since being placed in isolation? PPE readily accessible in resident areas? Appropriate PPE supplies outside of the resident's room and signage?	RI/RR1/RO	Infection Prevention, Control & Immunization Pathway	1	F880	Infection Prevention & Control: Implement Standard & Transmission Based Precautions (TBP), Hand Hygiene, Personal Protective Equipment (PPE) and Source Control
				2	F880	Infection Prevention & Control: IPCP Standards, P&P
				3	F880	Infection Prevention & Control: Infection Surveillance
				4	F880	Infection Prevention & Control: Water Management
				5	F880	Infection Prevention & Control: Linens
				6	F881	Antibiotic Stewardship Program
				7	F882	Infection Preventionist (IP)
				8	F883	Influenza and Pneumococcal Immunizations
				9	F887	COVID-19 Education and Immunization for Residents
				10	F887	COVID-19 Immunization for Staff: Screening, Education & Offering
Tube Feeding	Tube feeding (g-tube, peg tube, TPN, naso-gastric); Reason for tube feeding; Head of bed elevated when infusing; Feeding properly labeled; Amount left seem reasonable; Weight loss or gain; Any issues; Site clean and free of infection.	RI/RR1/RO	Tube Feeding Status Pathway	1	F693	Tube Feeding Management/Restore Eating Skills
				2	F880	Infection Prevention & Control
				3	F655	Baseline Care Plan
				4	F636	Comprehensive Assessments & Timing
				5	F637	Comprehensive Assmt After Significant Change
				6	F641	Accuracy of Assessments
				7	F656	Develop/Implement Comprehensive Care Plan
				8	F657	Care Plan Timing and Revision

Mapping for Initial Pool Areas

Initial Pool Area	Initial Pool Intent (Key Probing Words)	Initial Pool Source	Investigative Tool	Critical Element #	Tag #	Tag Description
Unsafe Wandering/ Elopement	<i>Leaving secured areas or entering residents' rooms without staff redirection or preventative measures in place.</i> <i>Attempted to leave building without alarm working.</i> <i>Concerns regarding unsafe wandering;</i> Eloped in the last 120 days.	RO/RR	Accidents Pathway for all CEs Additionally, Investigative Protocol for CE2 (F700) if applicable	1	F689	Free of Accident Hazards/Supervision/Devices
				2	F700	Bedrails (NA, unless issue identified during investigation)
				3	F909	Resident Bed (NA, unless issue identified during investigation)
				4	F655	Baseline Care Plan
				5	F636	Comprehensive Assessments & Timing
				6	F637	Comprehensive Assmt After Significant Change
				7	F641	Accuracy of Assessments
				8	F656	Develop/Implement Comprehensive Care Plan
				9	F657	Care Plan Timing and Revision
Urinary Tract Infection (UTI)	Access to soap and assistance to wash hands? Recent UTI or symptoms? Treated?	RI/RR/RO/ RR	Urinary Catheter or UTI Pathway	1	F690	Bowel/Bladder Incontinence, Catheter, UTI
				2	F880	Infection Prevention & Control
				3	F655	Baseline Care Plan
				4	F636	Comprehensive Assessments & Timing
				5	F637	Comprehensive Assmt After Significant Change
				6	F641	Accuracy of Assessments
				7	F656	Develop/Implement Comprehensive Care Plan
				8	F657	Care Plan Timing and Revision
Vent/Trach	Ventilator: Signs of anxiety, distress, labored breathing; Head of bed elevated; Suction equipment immediately accessible; Staff respond timely when alarm sounds. Tracheostomy: Site clean; Emergency trach equipment, ambu bag, suction equipment accessible in room.	RO	Respiratory Care Pathway	1	F695	Respiratory/Tracheostomy Care and Suctioning
				2	F826	Rehab Services- Physician Order/Qualified Person
				3	F715	Physician Delegation to Dietitian/Therapist
				4	F880	Infection Prevention & Control
				5	F655	Baseline Care Plan
				6	F636	Comprehensive Assessments & Timing
				7	F637	Comprehensive Assmt After Significant Change
				8	F641	Accuracy of Assessments
				9	F656	Develop/Implement Comprehensive Care Plan
				10	F657	Care Plan Timing and Revision
Vision and Hearing	Problems with vision or hearing? Glasses or hearing aids available, used, clean, working, and not broken? Help make appointments and transportation?	RI/RR/RO	Communication and Sensory Pathway	1	F676	Activities of Daily Living (ADLs)/Maintain Abilities
				2	F685	Treatment/Devices to Maintain Hearing/Vision
				3	F655	Baseline Care Plan
				4	F636	Comprehensive Assessments & Timing
				5	F637	Comprehensive Assmt After Significant Change
				6	F641	Accuracy of Assessments
				7	F656	Develop/Implement Comprehensive Care Plan
				8	F657	Care Plan Timing and Revision

Mapping for Neglect, Mandatory and Triggered Facility Tasks, and Closed Record Reviews

Neglect (Not Mapped to IP), Closed Records, Mandatory/Triggered Facility Tasks	Facility Task or CE Pathway	Critical Element #	Tag #	Tag Description
Arbitration	Binding Arbitration Agreement Review Task Pathway	1	F847	Enter into Binding Arbitration Agreements: Not Required to Sign for Admission
		2	F847	Enter into Binding Arbitration Agreements: Explained in a Manner that was Understood
		3	F847	Enter into Binding Arbitration Agreements: Acknowledge Understanding
		4	F847	Enter into Binding Arbitration Agreements: Right to Rescind Agreement in 30 Days
		5	F847	Enter into Binding Arbitration Agreements: States Not Required to Sign for Admission
		6	F847	Enter into Binding Arbitration Agreements: Communication with Officials
		7	F848	Select Arbitrator/Venue, Retention of Agreements: Neutral Arbitrator
		8	F848	Select Arbitrator/Venue, Retention of Agreements: Convenient Venue
		9	F848	Select Arbitrator/Venue, Retention of Agreements: Retain Copy
Death (Closed Record)	Death Pathway	1	F684	Quality of Care
		2	F655	Baseline Care Plan
		3	F636	Comprehensive Assessments & Timing
		4	F637	Comprehensive Assmt After Significant Change
		5	F641	Accuracy of Assessments
		6	F656	Develop/Implement Comprehensive Care Plan
		7	F657	Care Plan Timing and Revision

Mapping for Neglect, Mandatory and Triggered Facility Tasks, and Closed Record Reviews

Neglect (Not Mapped to IP), Closed Records, Mandatory/Triggered Facility Tasks	Facility Task or CE Pathway	Critical Element #	Tag #	Tag Description
Dining		1	F812	Food Procurement, Store/Prepare/Serve-Sanitary
		2	F880	Infection Prevention & Control
		3	F550	Resident Rights/Exercise of Rights
		4	F584	Safe/Clean/Comfortable/Homelike Environment
		5	F561	Self Determination
		6	F676 and/or F677	Activities of Daily Living (ADLs)/Maintain Abilities and/or ADL Care Provided for Dependent Residents
		7	F810	Assistive Devices - Eating Equipment/Utensils
		8	F675	Quality of Life
		9	F806	Resident Allergies, Preferences and Substitutes
		10	F811	Feeding Asst - Training/Supervision/Resident
		11	F948	Training for Feeding Assistants
		12	F804	Nutritive Value/Appear, Palatable/Prefer Temp
		13	F692	Nutrition/Hydration Status Maintenance
		14	F807	Drinks Avail to Meet Needs/Preferences/Hydration
		15	F806	Resident Allergies, Preferences and Substitutes
		16	F808	Therapeutic Diet Prescribed by Physician
		17	F920	Requirements for Dining and Activity Rooms: lighting
		18	F584	Safe/Clean/Comfortable/Homelike Environment:
		19	F920	Requirements for Dining and Activity Rooms: Ventilation
		20	F584	Safe/Clean/Comfortable/Homelike Environment: Comfortable Sound Levels
		21	F584	Safe/Clean/Comfortable/Homelike Environment: Comfortable and Safe Temperature Levels
		22	F920	Requirements for Dining and Activity Rooms: Furnished to Meet Residents' Physical and Social Needs
		23	F920	Requirements for Dining and Activity Rooms: Sufficient Space
		24	F809	Frequency of Meals/Snacks at Bedtime
		25	F802	Sufficient Dietary Support Personnel
		26	F809	Frequency of Meals/Snacks at Bedtime
Discharge (Closed Record)	Discharge Pathway	1	F627	Inappropriate Transfers and Discharges: Necessary Discharge
		2	F627	Inappropriate Transfers and Discharges: Documented in Record
		3	F628	Transfer and Discharge Process: Communication
		4	F627	Inappropriate Transfers and Discharges: Allowed to Return to the Facility
		5	F627	Inappropriate Transfers and Discharges: Pending Appeal
		6	F627	Inappropriate Transfers and Discharges: Discharge Plan
		7	F628	Transfer and Discharge Process: Discharge Summary
		8	F628	Transfer and Discharge Process: Notification of Discharge
		9	F579	Discharge Due to Non-payment: Medicaid-eligible Requirements

Mapping for Neglect, Mandatory and Triggered Facility Tasks, and Closed Record Reviews

Neglect (Not Mapped to IP), Closed Records, Mandatory/Triggered Facility Tasks	Facility Task or CE Pathway	Critical Element #	Tag #	Tag Description
Environment	Environment Task Pathway (only investigate the CE of concern)	1	F558	Reasonable Accommodations of Needs/Preferences
		2	F919	Resident Call System
		3	F584	Safe/Clean/Comfortable/Homelike Environment: Sound Levels
		4	F584	Safe/Clean/Comfortable/Homelike Environment: Temperature Levels
		5	F584	Safe/Clean/Comfortable/Homelike Environment: Lighting Levels
		6	F584	Safe/Clean/Comfortable/Homelike Environment: Clean Building
		7	F584	Safe/Clean/Comfortable/Homelike Environment: Building and Equipment in Good Condition
		8	F908	Essential Equipment, Safe Operating Condition
		9	F584	Safe/Clean/Comfortable/Homelike Environment: Homelike
		10	F584	Safe/Clean/Comfortable/Homelike Environment: Water Too Cool
		11	F584	Safe/Clean/Comfortable/Homelike Environment: Linens
		12	F925	Maintains Effective Pest Control Program
		13	F923	Ventilation
		14	F924	Corridors Have Firmly Secured Handrails
		15	F921	Safe/Functional/Sanitary/Comfortable Environment

Mapping for Neglect, Mandatory and Triggered Facility Tasks, and Closed Record Reviews

Neglect (Not Mapped to IP), Closed Records, Mandatory/Triggered Facility Tasks	Facility Task or CE Pathway	Critical Element #	Tag #	Tag Description
Extended	Extended Task Pathway - Physician Services	1	F710	Resident's Care Supervised by a Physician
		2	F711	Physician Visits - Review Care/Notes/Order
		3	F712	Physician Visits - Frequency/Timeliness/Alternate NPPs
		4	F713	Physician for Emergency Care, Available 24 Hours
		5	F714	Physician Delegation of Tasks to NPP
		6	F715	Physician Delegation to Dietitian/Therapist
	Extended Task Pathway - Administration <i>and</i> <i>Facility Assessment</i> for all CEs Additionally, Investigative Protocol for Admissions Policy	1	F835	Administration
		2	F836	License/Comply w/Fed/State/Local Law/Prof Std
		3	F837	Governing Body
		4	F838	Facility Assessment
		5	F839	Staff Qualifications
		6	F840	Use of Outside Resources
		7	F841	Responsibilities of Medical Director
		8	F842	Resident Records - Identifiable Information
		9	F843	Transfer Agreement
		10	F844	Disclosure of Ownership Requirements
		11	F845	Facility Closure-Administrator
		12	F846	Facility Closure
		13	F849	Hospice Services
		14	F850	Qualifications of Social Worker >120 Beds
	Extended Task Pathway - Training	1	940	Training Requirements -General
		2	941	Communication Training
		3	942	Resident Rights Training
		4	943	Abuse Neglect, and Exploitation Training
		5	944	QAPI Training
		6	945	Infection Control Training
		7	946	Compliance and Ethics Training
		8	947	Required In-Service Training for Nurse Aides
		9	948	Training for Feeding Assistants
		10	949	Behavioral Health Training
Hospitalization (Closed Record)	Hospitalization Pathway	1	F684	Quality of Care
		2	F627	Inappropriate Transfers and Discharges: Requirements
		3	F627	Inappropriate Transfers and Discharges: Prepared/Oriented
		4	F627	Inappropriate Transfers and Discharges: Allowed to Return to the Facility
		5	F628	Transfer and Discharge Process: Required Information Conveyed at Time of Transfer
		6	F628	Transfer and Discharge Process: Facility Notification to Resident/Resident Representative
		7	F628	Transfer and Discharge Process: Discharge After Hospitalization
		8	F628	Transfer and Discharge Process: Notification of Bed Hold Policy/Reserve Bed Payment
		9	F655	Baseline Care Plan
		10	F636	Comprehensive Assessments & Timing
		11	F637	Comprehensive Assmt After Significant Change
		12	F641	Accuracy of Assessments
		13	F656	Develop/Implement Comprehensive Care Plan

Mapping for Neglect, Mandatory and Triggered Facility Tasks, and Closed Record Reviews

Neglect (Not Mapped to IP), Closed Records, Mandatory/Triggered Facility Tasks	Facility Task or CE Pathway	Critical Element #	Tag #	Tag Description
		14	F657	Care Plan Timing and Revision

Mapping for Neglect, Mandatory and Triggered Facility Tasks, and Closed Record Reviews

Neglect (Not Mapped to IP), Closed Records, Mandatory/Triggered Facility Tasks	Facility Task or CE Pathway	Critical Element #	Tag #	Tag Description
Infection Control	Infection Prevention, Control & Immunization Pathway	1	F880	Infection Prevention & Control: Implement Standard & Transmission Based Precautions (TBP), Hand Hygiene, Personal Protective Equipment (PPE) and Source Control
		2	F880	Infection Prevention & Control: IPCP Standards, P&P
		3	F880	Infection Prevention & Control: Infection Surveillance
		4	F880	Infection Prevention & Control: Water Management
		5	F880	Infection Prevention & Control: Linens
		6	F881	Antibiotic Stewardship Program
		7	F882	Infection Preventionist (IP)
		8	F883	Influenza and Pneumococcal Immunizations
		9	F887	COVID-19 Education and Immunization for Residents
		10	F887	COVID-19 Immunization for Staff: Screening, Education & Offering

Mapping for Neglect, Mandatory and Triggered Facility Tasks, and Closed Record Reviews

Neglect (Not Mapped to IP), Closed Records, Mandatory/Triggered Facility Tasks	Facility Task or CE Pathway	Critical Element #	Tag #	Tag Description
Kitchen	Kitchen Task Pathway	1	F812	Food Procurement, Store/Prepare/Serve-Sanitary
		2	F812	Food Procurement, Store/Prepare/Serve-Sanitary
				Food Procurement, Store/Prepare/Serve-Sanitary:
		3	F812	Appropriate Temperatures
		4	F812	Food Procurement, Store/Prepare/Serve-Sanitary
		5	F800	Provided Diet Meets Needs of Each Resident
		6	F804	Nutritive Value/Appear, Palatable/Prefer Temp
		7	F805	Food in Form to Meet Individual Needs
		8	F812	Food Procurement, Store/Prepare/Serve-Sanitary
		9	F813	Personal Food Policy
		10	F812	Food Procurement, Store/Prepare/Serve-Sanitary
				Food Procurement, Store/Prepare/Serve-Sanitary: Dishes
		11	F812	and Utensils
		12	F812	Food Procurement, Store/Prepare/Serve-Sanitary: Food
				Preparation Equipment Clean
		13	F908	Essential Equipment, Safe Operating Condition
		14	F814	Dispose Garbage and Refuse Properly
		15	F925	Maintains Effective Pest Control Program
				Food Procurement, Store/Prepare/Serve-Sanitary:
		16	F812	Snack/Nourishment Refrigerators on the Unit
Medication Administration	Medication Administration Task Pathway	1	F759	Free of Medication Error Rates of 5% or More
		2	F760	Residents Are Free of Significant Med Errors
		3	F755	Pharmacy Svcs/Procedures/Pharmacist/Records
		4	F761	Label/Store Drugs & Biologicals
		5	F880	Infection Prevention & Control
		6	F658	Services Provided Meet Professional Standards
Medication Storage	Med Storage Task Pathway	1	F755	Pharmacy Svcs/Procedures/ Pharmacist/Records
		2	F755 and/or F761	Pharmacy Svcs/Procedures/ Pharmacist/Records and/or Label/Store Drugs & Biologicals
		3	F755	Pharmacy Svcs/Procedures/Pharmacist/Records
Neglect	Neglect Pathway	1	F600	Free from Abuse and Neglect
		2	F606	Not Employ/Engage Staff with Adverse Actions
		3	F607	Develop/Implement Abuse/Neglect etc Policies
		4	F609	Reporting of Alleged Violation
		5	F610	Investigate/Prevent/Correct Alleged Violation
		6	F943	Abuse Neglect, and Exploitation Training
		7	F947	Required In-Service Training for Nurse Aides

Mapping for Neglect, Mandatory and Triggered Facility Tasks, and Closed Record Reviews

Neglect (Not Mapped to IP), Closed Records, Mandatory/Triggered Facility Tasks	Facility Task or CE Pathway	Critical Element #	Tag #	Tag Description
Personal Funds	Personal Funds Facility Task	1	F567	Protection/Management of Personal Funds
		2	F568	Accounting and Records of Personal Funds: Quarterly Statement
		3	F582	Medicaid/Medicare Coverage/Liability Notice
		4	F568	Accounting and Records of Personal Funds: Separate Accounting
		5	F568	Accounting and Records of Personal Funds: Follow Accounting Principles
		6	F571	Limitations on Charges to Personal Funds
		7	F567	Protection/Management of Personal Funds
		8	F569	Notice and Conveyance of Personal Funds
		9	F570	Surety Bond - Security of Personal Funds
Quality Assurance & Performance Improvement (QAPI) and Quality Assessment & Assurance (QAA) Review	QAPI and QAA Task Pathway	1	F867	QAPI/QAA Improvement Activities: Facility
		2	F867	QAPI/QAA Improvement Activities, Analysis and Action: Facility/QAA Committee
		3	F865	QAPI Program/Plan: Systemic non-compliance identified by the State Agency, the Facility identified prior to the survey
		4	F865	QAPI Program/Plan, Disclosure/Good Faith Attempt
		5	F841	Responsibilities of Medical Director
		6	F868	QAA Committee
		7	F865	QAPI Program, Plan, Disclosure, and Governance and Leadership
Resident Assessment	Resident Assessment Task Pathway	1	F641	Accuracy of Assessments
		2	F636	Comprehensive Assessment and Timing
		3	F638	Quarterly Assessment At Least Every 3 months
		4	F640	Encoding/Transmitting Resident Assessment
		5	F641	Accuracy of Assessments
		6	F641	Accuracy of Assessments

Mapping for Neglect, Mandatory and Triggered Facility Tasks, and Closed Record Reviews

Neglect (Not Mapped to IP), Closed Records, Mandatory/Triggered Facility Tasks	Facility Task or CE Pathway	Critical Element #	Tag #	Tag Description
Resident Council	Resident Council Task Pathway	1	F565	Resident/Family Group and Response: Meet Regular Basis
		2	F565	Resident/Family Group and Response: Facility Makes Arrangements
		3	F565	Resident/Family Group and Response: Adequate Space
		4	F565	Resident/Family Group and Response: Meet Without Staff
		5	F565	Resident/Family Group and Response: Act Upon Grievances
		6	F565	Resident/Family Group and Response: Respond to Group's Concerns
		7	F565	Resident/Family Group and Response: Rationale if Doesn't Respond to Group's Concerns
		8	F585	Grievances: File a Grievance
		9	F585	Grievances: Complaint Without Retribution
		10	F600	Free from Abuse and Neglect
		11	F725	Sufficient Nursing Staff
		12	F809	Frequency of Meals/Snacks at Bedtime
		13	NA	NA
		14	F563	Right to Receive/Deny Visitors
		15	F565	Resident/Family Group and Response
		16	F572	Notice of Rights and Rules
		17	F550	Resident Rights/Exercise of Rights
		18	F561	Self Determination
		19	F576	Right to Forms of Communications with Privacy: Mail delivered unopened and on Saturday
		20	F577	Right to Survey Results/Advocate Agency Info
		21	F574	Required Notices and Contact Information
		22	F573	Right to Access/Purchase Copies of Records
		23	F574	Required Notices and Contact Information
		24	NA	NA
		25	F847	Enter into Binding Arbitration Agreements
		26	All F-tags	Display all F-Tags

Mapping for Neglect, Mandatory and Triggered Facility Tasks, and Closed Record Reviews

Neglect (Not Mapped to IP), Closed Records, Mandatory/Triggered Facility Tasks	Facility Task or CE Pathway	Critical Element #	Tag #	Tag Description
SNF Beneficiary Protection Notification Review	SNF Beneficiary Protection Notification Review Task Pathway	1	F582	Medicaid/Medicare Coverage/Liability Notice
Sufficient & Competent Nurse Staffing	Sufficient and Competent Nurse Staffing Task Pathway	1	F851	Payroll Based Journal (PBJ)
		2	F727	RN Serve as Full Time DON
		3	F725	Licensed Nurse Coverage 24-Hr/Day
		4	F727	RN 8 Consecutive Hrs/Day/7 Days a Week
		5	F725	Sufficient Nursing Staff 24 Hrs Basis for Each Resident's Needs
		6	F726	Nursing Staff Knowledge, Competencies, & Skills
		7	F727	RN 8 Consecutive Hrs/Day/7 Days a Week
		8	F732	Posted Nurse Staffing Information
		9	F838	Facility Assessment
		10	F725	Licensed Nurse Coverage 24-Hr/Day
		11	F727	DON Charge Nurse only Daily Occupancy 60 or Fewer Residents
		12	F728	Nurse Aid Training and/or Competency Evaluation Within 4 Months of Hire
		13	F729	Nurse Aid New Training and/or Competency Evaluation Program
		14	F730	Nurse Aid Performancy Review Every 12 months; Regular In-service Education
		15	F947	Required In-Service Training Provided for Nurse Aides

F-tags Not Mapped to a Care Area

Ftag	SQC Tag X = Yes	Tag Title	Investigative Protocol X = Yes
F540		Definitions	
F551		Rights Exercised by Representative	
F553		Right to Participate in Planning Care	
F554		Resident Self-Admin Meds-Clinically Appropriate	
F555		Right to Choose/Be Informed of Attending Physician	
F557		Respect, Dignity/Right to have Personal Property	
F559	X	Choose/Be Notified of Room/Roommate Change	
F560		Right to Refuse Certain Transfers	
F562		Immediate Access to Resident	
F564		Inform of Visitation Rights/Equal Visitation Privileges	
F566		Right to Perform Facility Services or Refuse	
F575		Required Postings	
F579		Posting/Notice of Medicare/Medicaid on Admission	
F586		Resident Contact with External Entities	
F602	X	Free from Misappropriation/Exploitation	X
F603	X	Free from Involuntary Seclusion	X
F620		Admissions Policy	
F621		Equal Practices Regardless of Payment Source	
F635		Admission Physician Orders for Immediate Care	
F639		Maintain 15 Months of Resident Assessments	
F659		Qualified Persons	
F678	X	Cardio-Pulmonary Resuscitation (CPR)	X
F680	X	Qualifications of Activity Professional	
F687	X	Foot Care	
F691	X	Colostomy, Urostomy, or Ileostomy Care	
F694	X	Parenteral/IV Fluids	
F696	X	Prostheses	
F770		Laboratory Services	
F771		Blood Bank and Transfusion Services	
F772		Lab Services Not Provided On-Site	
F773		Lab Svs Physician Order/Notify of Results	
F774		Assist with Transport Arrangements to Lab Svcs	
F775		Lab Reports in Record-Lab Name/Address	
F776		Radiology/Other Diagnostic Services	
F777		Radiology/Diag. Svcs Ordered/Notify Results	
F778		Assist with Transport Arrangements to Radiology	
F779		X-Ray/Diagnostic Report in Record-Sign/Dated	
F895		Compliance and Ethics Program	
F906		Emergency Power	
F907		Space and Equipment	
F910		Resident Room	
F911		Bedroom Number of Residents	
F912		Bedrooms Measure at Least 80 Square Ft/Resident	
F913		Bedrooms Have Direct Access to Exit Corridor	
F914		Bedrooms Assure Full Visual Privacy	
F915		Resident Room Window	
F916		Resident Room Floor Above Grade	
F917		Resident Room Bed/Furniture/Closet	
F918		Bedrooms Equipped/Near Lavatory/Toilet	
F922		Procedures to Ensure Water Availability	
F926		Smoking Policies	